Neural Tube Defects Registry First Multi-institutional Report 2006 King Faisal Specialist Hospital & Research Centre, Riyadh Registration from (2000-2006) and Disable Children Association, Riyadh Registration from (2006)

Executive Summary The Neural Tube Defects Registry

The King Faisal Specialist Hospital and Research Centre (KFSH&RC) established a registry in March 2000 for all patients with neural tube defects presenting to the hospital. The registry is a coordinated collaboration among the departments of Neurosciences, Biostatistics, Epidemiology and Scientific Computing (BESC), Pediatrics, Orthopedics, Urology, and Obstetrics and Gynecology (Ob-Gyn). The development of the registry is meant to provide a better understanding on disease occurrence and natural history of the disease. Last year we started collaborating with the Disabled Children's Association in an effort to develop this registry nationally.

Data in this report is divided into two parts. The first covers data on the Disabled Children's Association and the second on King Faisal Specialist Hospital and Research Centre.

The Disabled Children's Association

relatives with NTD.

A total of 39 patients were registered from March 1 to December 31, 2006 in the Neural Tube Defects registry of the Disabled Children's Association. The registry sample are all Saudi's with (n=21; 54%) females and (n=18; 46%) males. Most of the patients are attending school (n=10; 63%). Out of the 10 patients 8 are going to primary school. Despite the sonography most of the defects were reported to be first diagnosed at birth and during third trimester (n=15; 43%) and (n=12; 34%) respectively. The majority of the patients are diagnosed with **Spina Bifida** Aperta and Hydrocephalus (n=31; 80%). Among patients who were born with hydrocephalus (n=19; 66%) were diagnosed antenataly and (n=10; 35%) were diagnosed after birth. The majority (n=24; 67%) had operation of the primary defect on the first and second day of life. Among the 31 patients requiring VPS insertion (n=25; 89%) patients had the VP inserted after the repair. None of the women in the registry sample took folic acid before conception and (n=25;77%) of the mothers did not take any folic acid during the first three months of pregnancy. Around half the number of parents (n=19; 53%) stated they were not related, while (n=17; 47%) stated that they were related. Most of the patients (n=34; 94%) did not have a first degree relative with NTD. Moreover (n=27; 79%) patients did not have blood

The King Faisal Specialist Hospital and research centre

A total of 444 cases were registered from King Faisal Specialist Hospital and Research Centre from October 2000 to December 31, 2006. A total of (n=416; 94%) are Saudis with (n=202; 46%) males and (n=242; 55%) females. Twenty seven (n=27; 53%) patients are attending school, with (n=20; 39%) who did not reach school age. Around (n=20; 74%) of these patients are attending primary school. The majority of patients (n=50; 98%) are not employed and did not reach employment age. Most of the patients cannot move around because they are handicapped (n=37; 73%), and (n=11; 22%) of these patients move around independently in the house and community.

Among the mothers who had ultrasound, (n=306; 72%) of the mothers reported that their children were diagnosed with NTD at birth and (n=76; 18%) during the third trimester. Out of the 444 patients (n=271; 61%) had **spina bifida** aperta with hydrocephalus. With the relevant population being those women who had ultrasound, (n=109; 48%) were diagnosed with hydrocephalus before birth and (n=118; 52%) were diagnosed after birth. The majority of patients underwent the primary operation within the first three days of life (n=219; 53%). Around (n=268; 61%) had VPS inserted, while (n=174; 39%) did not have any. Among patients who had VPS inserted (n=195; 76%) had it after repair of the defect. The majority of our registry patients did not sustain any fractures (n=44; 88%). A large number of mothers did not take folic preconception (n=407; 99%). The first trimester (n=348; 87%) had no folic acid intake as well, with (n=45; 11%) not taking this vitamin regularly. A good number of parents declared they were not related (n=243; 57%), while (n=180; 43%) declared they were. The vast majority of patients did not have first degree relatives with NTDs (n=418; 98%). Also a significant percentage of patients (n=403; 95%) did not have blood relatives with NTD.

Foreword

I am proud to release the Annual Report for 2006 for the Neural Tube Defect Registry. A total of 444 cases have been registered since this Registry was established in 2000. in collaboration with Biostatistics, Epidemiology and Scientific Computing, Pediatrics. Orthopedics, Urology and Obstetrics & Gynecology at the King Faisal Specialist Hospital & Research Centre. The Registry is useful for identifying risk factors, diagnosis of NTDs and management of spina bifida. The Neural Tube Defects Registry provides vital information in accumulating data that provides statistical and demographical information on prevalence, incidence and clinical data on NTDs in Saudi Arabia. Data collection provides an effective foundation to create national programs, *health policies* and prevention measures as well as promoting the welfare of infants and children, including outreach activities and patient education information. The Registry has also recently formed collaborations with local institutions in an effort to expand to a National Registry. I would like to express my appreciation to the King Faisal Specialist Hospital & Research *Centre for support and resource assistance on this project, as well as the* Disabled Children's Association and Riyadh Central Hospital (Shemaisi) for promoting and supporting this

Registry on a national level.

Essam Al Shail, MD Head Section, Neurosurgery Department of Neurosciences

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Registry Committee

Chair

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The Neural Tube Defects Registry First Multi-institutional Report, 2006 **Table of Contents Topics** Page # Neural Tube Defects: An Overview......4 Recent Achievements......7 Part I: Disabled Children's Association Section 3.0: Clinical Data History......10 Section 4.0: Risk Factors......11 Part II: (King Faisal Specialist Hospital & Research centre)......12

Page 9

The Neural Tube Defects Registry First Multi-institutional Report, 2006
Tables & Figures Page #
Table 1.1: Gender distribution of Saudi patients 8
Figure 1.1: Distribution of Saudi patients by gender
Table 1.2: Distribution of NTD patients by current domicile
Table 1.3: Distribution of NTD patients by patrilocality
Table 1.4: Distribution of NTD patients by education
Table 1.5: Distribution of NTD patients by education level
Table 2.1: Mothers with ultrasonography and stage of NTD diagnosis
Table 2.2: Distribution of patients by diagnosis
Table 2.3: Distribution of patients born with hydrocephalus
Table 2.4: Distribution of patients with hydrocephalus by stage of 1st diagnosis
Table 3.1: Distribution of patients' age of repair
Table 3.2: Distribution of patients requiring VPS insertion
Table 3.3: Distribution of patients by stage of VPS insertion 10
Table 4.1: Distribution of women taking folic acid preconception and 1

trimester11

Table 4.2: Consanguinity distribution of NTD patients
Table 4.3: Distribution of patients having first degree relative and blood relative with NTD
Table 4.4: Distribution of age of mothers at birth of child. 11
Table 1.1: Distribution of NTD patients by nationality
Figure 1.1: Distribution of NTD patients by nationality
Table 1.2: Distribution of NTD patients by gender
Figure 1.2: Distribution of NTD patients by gender
Table 1.3: Distribution of NTD patients by current residence
Table 1.4: Distribution of NTD patients by patrilocality 13
Table 1.5: Distribution of NTD patients by education
Table 1.6: Distribution of NTD patients by education level
Table 1.7: Distribution of NTD patients by employment
Table 1.8: Mobility
Figure 2.1: Mothers with ultrasonography and stage of NTD diagnosis
Table 2.2: Distribution of patients by diagnosis
Figure 2.3: Distribution of patients born with hydrocephalus
Figure 2.4: Distribution of patients with hydrocephalus by stage of 1st diagnosis
2
Figure 3.1: Distribution of patients' age of repair

Page 10

The Neural Tube Defects Registry First Multi-institutional Report, 2006	
Figure 3.2: Distribution of patients requiring VPS insertion	17
Figure 3.3: Distribution of patients by stage of VPS insertion	17
Table 3.4: Distribution of patients with fractures	17
Figure 4.1: Folic acid intake.	18
Figure 4.2: Consanguinity distribution of NTD patients	18
Figure 4.3: First degree relative and blood relative with NTD	.18
3	
Figure 4.4: Distribution of age of mothers at birth of child	.18

The Neural Tube Defects Registry First Multi-institutional Report, 2006 Neural tube defects including anencephaly, encephalocele and spina bifida are major birth defects of the brain and spine. These birth defects can cause lifelong disability or death. Spina bifida is the incomplete formation of the spine and the spinal cord. Its manifestations may include paralysis and loss of sensation of the legs. It also includes bladder and bowel incontinence. Moreover, it results in hydrocephalus which may affect intelligence, visual, hearing and learning disabilities. Anencephaly involves absence of the skull bones, and part or

all of the brain. Anencephaly is always lethal. It usually results in either stillbirth or death within hours or days of birth. Encephalocele is a protrusion of the brain through a defect in the skull. These defects can occur early in pregnancy long before a woman realizes she is pregnant. Each year spina bifida and anencephaly, the two most common forms of neural tube defects, occur in approximately 1 of every 1,000 pregnancies in the United States and in an estimated 300.000 newborns worldwide (CDC, 2007). **Risk Factors** Women are at greater risk of having a pregnancy affected by spina bifida or another neural tube defect (NTD) if they have: A child with **spina bifida** or a previous pregnancy affected by any NTD. An NTD-affected pregnancy increases a woman's chance to have another NTDaffected pregnancy approximately twenty times Maternal diabetes with uncontrolled blood glucose Use of certain anti-seizure medication (e.g. Valproic acid/Depakene and Carbamazapine/Tegretol) Medically diagnosed obesity (BMI >30) Exposure to high temperatures in early pregnancy (i.e., prolonged fevers and hot tub use) Race/ethnicity (Hispanics in the U.S are known to have the highest prevalence rate of NTD's) Consanguinity Mother's age (NTD risk is highest among youngest and oldest women) Folic acid deficiency

4

The Neural Tube Defects Registry First Multi-institutional Report, 2006 Some of these risk factors are discussed in this report.

Diagnosis of NTD's and Ultasonography

Most NTD's are usually detected before a baby is born if a mother has an elevated alpha fetoprotein (AFP) level, a diagnostic blood test performed at about 16 weeks gestation, the amount of time after conception. This is then confirmed by an ultrasound which is used to create images of the fetus and the position of the spinal opening.

<mark>Spina</mark> bifida repair

Spina bifida repair is usually initiated within the first few days of life to reduce the risk of further damage to the spinal cord and nerves. Furthermore, most patients with spina bifida develop hydrocephalus, approximately 85-90%. Hydrocephalus is usually treated by surgically inserting a

Ventriculoperitoneal shunt which redirects the fluid from the brain to the abdomen.

Folic acid

Any woman who can become pregnant is at risk of having an NTD affected pregnancy. Over the last two decades accumulating evidence has made it clear that the use of periconceptional of folic acid can significantly reduce the risk of NTD affected pregnancies (Enaw et al., 2006). Women who take folic acid everyday decrease their chance of having an affected child by 50-70%. Taking enough folic acid is not a guarantee; however other factors can play a role including environmental and genetic factors.

The future of <mark>spina</mark> bifida patients

Spina bifida is often complicated by physical and mental disabilities in different forms. Therefore, long term rehabilitation is very important to help patients establish independence in their daily activities (Suyama et al., 2000). Participation in school and community helps them acquire knowledge of dealing with social life and eventually promoting their social independence. Training for employment is an excellent factor that encourages independence and is very essential to help them take on responsibilities that are within their capabilities. 5

The Neural Tube Defects Registry First Multi-institutional Report, 2006 In March 2000, the King Faisal Specialist Hospital and Research Centre (KFSH&RC) established a registry for all patients with neural tube defects. The registry is a coordinated collaboration among the departments of Neurosciences, Biostatistics, Epidemiology and Scientific Computing (BESC), Pediatrics, Orthopedics, Urology, and Obstetrics and Gynecology (Ob-Gyn). The development of the registry is meant to provide а better understanding of disease occurrence and natural history of the disease. It serves as a base for epidemiological research and it is a start towards control and prevention. It includes all patients presenting to the hospital who are diagnosed with NTD. These comprise Saudi and non Saudi patients. **Data sources and collection** Data is usually collected in two phases. The first phase takes place in the clinic where patients' parents are interviewed face to face. The second phase involves further information about patients' diagnosis which is found in their medical charts. Data about diagnosis are usually coded using the **British Pediatric** Association's (BPA) classification of diseases, the World Health Organization's International Classification of Diseases 9 Revision and Clinical Modification (ICD-9-CM). The Combined **Spina Bifida** clinic is a

source of patient capture. This clinic is held twice a month. Approximately 7 patients in this clinic are seen by a team of specialty clinicians. Additionally, the registrar regularly visits the Urology and Pediatric clinics to capture all the NTD cases presenting to the hospital.

Data processing

Data collected is entered into database on MS SQL server 2000. Quarterly audits took place to assure legibility,

completeness, and consistency of data items in all sections of the registry. The auditing function provides a mean to achieve verification of data from medical charts. Finally, data analysis is done using SAS and SPSS statistical packages.

Confidentiality

Information collected by the Registry is kept confidential using computer security measures and locked cabinets. All staff members are required to sign a pledge to maintain the confidentiality of all information collected. Confidentiality is strictly preserved so that the rights and welfare of the patients and families are not compromised.

6

The Neural Tube Defects Registry First Multi-institutional Report, 2006 **Recent Achievements** Last year the registry has expanded its activities to include the Disabled Children's Association with which we started collaborating in an effort to expand the registry nationwide. We also signed a collaborative agreement with Riyadh Central Hospital (Shemaisi Hospital). In June 2006, the NTDR committee took a decision to modify the baseline demographic component by adding 4 new variables. Data on these variables are collected retrospectively and on new patients. The variables are: education, employment, Mobility and

fractures. All these variables are included under the demographic section, except for fractures, which is included under Clinical data.

The Disabled children's Association

Disabled Children's Association (DCA) is a non-profit non-government, charity organization working in Riyadh for many years with five more centers scattered all over the Kingdom (Makkah, Madina, Jouf, Jeddah and Hail) and two more under construction. The DCA registers around 20 to 30 newly diagnosed NTD patients per year. There are around 200 existing cases; most of them have been treated at King Faisal Specialist Hospital and Research Center (KFSH&RC).

Report overview

This report consists of two parts. The first belongs to Disabled Children's Association and the second belongs to King Faisal Hospital and Research Centre. Each part is divided into sections which are presented in tables and charts. Sections include data presentation on patients' demographics, and Antenatal diagnosis of neural tube defects and hydrocephalus. In addition, data presentation includes clinical information and risk factors. 7

A total of 39 cases were registered from March 1 to December 31, 2006 in the Neural Tube Defects registry at the Disabled Children's Association. Five patients from outside of the Riyadh region on whom we have limited data, have been registered and included in this analysis. Data belonging to DCA is mainly presented in tables. Furthermore, a total of 444 were registered at the King Faisal Specialist Hospital and Research Centre from October 2000 to December 31, 2006. Most of the data belonging to KFSH&RC in this report are presented in tables and charts. The new variables are presented only in tables due to the small number of cases that provided this information. We could retrieve data on these new variables for only 16 patients from DCA and 51 patients from KFSH&RC.

Page 15

The Neural Tube Defects Registry First Multi-institutional Report, 2006

Part I: Disabled Children's Association Section1.0: Patient Demographics Gender distribution of NTD Saudi patients Our registry sample in table 1.1 is all Saudi with 21 females (54%) and 18 males (46%). **Table 1.1: Gender distribution of Saudi patients**

Gender Count Valid percentage Male 18 46.2 Female 21 53.8 Total 39 100.0 Figure 1.1: Distribution of Saudi patients by gender 53.8% 46.2% Male Female **Regional distribution** As shown in Table 1.2 and 1.3, data is collected for both patrilocality and current residence with Riyadh

both patrilocality and current residence with Riyadh topping both lists. Patrilocality documents the birth

place of the father.

 Table 1.2: Distribution of NTD patients by current domicile

Riyadh 35 94.6 Qasim 1 2.7 Jizan 1 2.7 Total Saudi Cities 37 100.0 Table 1.3: Distribution of NTD patients by patrilocality

Riyadh 20 55.6 Qasim 6 16.7 Jizan 3 8.3 Al Jouf 1 2.8 Northern Province 1 2.8 Baha 1 2.8 Makkah 1 2.8 Eastern Province 1 2.8 Tabuk 1 2.8 Madina 1 2.8 **Total Saudi** Cities 36 100.0 Education Most of the patients are attending school 10 (63%), 5 patients are considered as not applicable the "not applicable" code was added to indicate patients who did not reach school age yet (Table 1.4). Out of the 10 patients 8 are going to primary school (Table 1.5). Table 1.4: Distribution of NTD patients by education

Yes 10 62.5 Disabled 1 6.3
Not Applicable
5
31.3
Total
16
100.0
Table 1.5: Distribution of NTD patients by education level

Pre-school 1 11.1 Primary 8 88.9 **Total** 9 100.0 Employment and Mobility We did not display tables for employment and mobility because all the 16 patients did not reach employment age and are non walkers (100%). 8

The Neural Tube Defects Registry First Multi-institutional Report, 2006 Section 2.0: Antenatal Diagnosis of Neural Tube Defects and Hydrocephalus Ultrasonography and stage of NTD diagnosis Table 2.1 presents the number of mothers reporting ultrasonography by time of diagnosis. Despite the sonography, most of the defects were reported to be first diagnosed at birth and during third trimester 15(43%) and 12 (34%). Table 2.1: Mothers with ultrasonography and stage

of NTD diagnosis

Second trimester 8 22.9 Third trimester 12 34.3 At birth 15 42.9 **Total 35 100.0 Diagnosis** In table 2.2 the majority of the patients are diagnosed with **Spina Bifida** Aperta and Hydrocephalus 31(80%).

Table 2.2: Distribution of patients by diagnosis

Spina bifida aperta, any site, with hydrocephalus 31 79.5 <mark>Spina</mark> bifida of any unspecified type with hydrocephalus 1 2.6 <mark>Spina</mark> bifida</mark> aperta, without hydrocephalus 7 17.9 Total 39 100.0 Hydrocephalus and antenatal diagnosis Table 2.3 shows that 31(80%) patients are born with hydrocephalus; with 19(66%) diagnosed antenataly and 10(35%) diagnosed after birth (Table 2.4). Table 2.3: Distribution of patients born with hydrocephalus

Yes 31 79.5 No 8 20.5 **Total** 39 100.0 **Table 2.4: Distribution of patients with** hydrocephalus by stage of 1st diagnosis

Diagnosed prior to birth 19 65.5 Diagnosed post birth 10 34.5 **Total** **29 100.0** 9

Page 17

The Neural Tube Defects Registry First Multi-institutional Report, 2006 Section 3.0: Clinical Data History

Age of repair (in days) Table 3.1 presents age in days at which the patients underwent the primary repair. The majority 24(67%) had their operation on the first and second day of life. Table 3.1: Distribution of patients' age of repair

1 day 16 44.4 2 days 8 22.2 4-29 days 7 19.4 > 30 days 5 13.9 Total 36 100.0 Ventriculoperitoneal shunt Table 3.2 shows the number of patients requiring a ventricular shunt apparatus 31(82%). Table 3.2: Distribution of patients requiring VPS insertion

Yes 31 81.6 No 7 18.4 Total 38 100.0 Stage of VPS insertion Among the 31 patients requiring VPS insertion 25 (89%) patients had the VP inserted after the repair. It is a standard procedure to have VPS inserted after the repair (Table 3.3). Table 3.3: Distribution of patients by stage of VPS insertion

At the repair 1 3.6 After the repair 25 89.3 Before the repair 2 7.1 Total 28 100.0 Fractures We did not show the table for fractures because we could only collect information for 14 patients, who all happened to be with no fractures (100%). 10

The Neural Tube Defects Registry First Multi-institutional Report, 2006

Section 4.0: Risk Factors

Folic acid intake

Looking at table 4.1 we find that none of the women in the registry sample took folic acid before conception. While 25(77%) of the mothers did not take any folic acid during the first three months of pregnancy, eight mothers took folic acid on and off.

Table 4.1: Distribution of women taking folic acidpreconception and 1

trimester

Count Valid percentage Count Valid percentage On/Off -_ 8 23.5 No 34 100.0 25 76.5 Total 34 100.0 34 100.0 Code On/Off was added to identify mothers who did not take folic acid regularly

Consanguinity

Nineteen parents stated they were not related 53%, while 17 stated that they were related 47% (Table 4.2). **Table 4.2: Consanguinity distribution of NTD patients**

No consanguinity 19 52.8 Related 17 47.2 Total 36 100.0 First degree relative and blood relative with NTD In table 4.3, we find that 34(94%) of the patients did not have a first degree relative with NTD. Moreover 27(79%) patients did not have blood relatives with NTD.
 Table 4.3: Distribution of patients having first degree
 relative and blood relative with NTD

Count Valid percentage Count Valid percentage Yes 2 5.6 7 20.6 No 34 94.4 27 79.4 Total 36 100.0 34 100.0 First degree relative is defined as: Mother, Father, Sibling, Half-sibling or Child Age of mothers Table 4.4 presents age of mothers when the patients

were born, with the highest number of mothers being between 21-30 years of age 21(54%).

 Table 4.4: Distribution of age of mothers at birth of child

15-20
3
7.7
21-30
21
53.8
31-40
13
33.3
41-50
2
5.1
Total
39
100.0
11

Page 19

The Neural Tube Defects Registry First Multi-institutional Report, 2006 Part II: (King Faisal Specialist Hospital & Research centre) Section 1.0: Patient Demographics Table 1.1: Distribution of NTD patients by nationality

Saudi 416 93.7 Other Arab 26 5.9 Non Arab 2 0.5 Total 444 100.0 Figure 1.1: Distribution of NTD patients by nationality 93.7% 5.9% 0.5% Saudi Other Arab Non Arab Table 1.2: Distribution of NTD patients by gender

Male 202 45.5 Female 242 54.5 **Total** 444 100.0
Figure 1.2: Distribution of NTD patients by gender
45.5%
54.5%
Male
Female
12
Nationality and gender distribution
Table 1.1 and figure 1.1 shows the number of registered NTD patients by nationality, 94% are Saudis with 46% males
and 55% females (Table 1.2 and Figure 1.2).

Page 20

The Neural Tube Defects Registry First Multi-institutional Report, 2006 Table 1.3: Distribution of NTD patients by current residence

Riyadh 174 40.2 Eastern Province 80 18.5 Makka 37 8.5 Madina 33 7.6 Qasim 17 3.9 Jizan 16 3.7 Tabuk 15 3.5 Al Jouf 14 3.2 Hail 13 3.0 Northern Province 12 2.8 Asir 10 2.3 Najran 7 1.6 Baha 5 1.2 **Total Saudi Cities** 433

98.2 Other Cities 8 1.8 **Total** 441 100.0 Table 1.4: Distribution of NTD patients by patrilocality

Riyadh 107 26.4 Eastern Province 59 14.5 Qasim 45 11.1 Makka 38 9.4 Madina 34 8.4 Hail 23 5.7 Asir 21 5.2 Jizan 19 4.7 Al Jouf 15 3.7 Northern Province 12 3.0 Najran 12 3.0 Tabuk 11 2.7 Baha 10 2.5 Total Saudi Cities 406 92.3 Other Cities 34 7.7 Total 440

100.0 13 Regional distribution

The data on current residence and patrilocality is shown in table 1.3 and 1.4. The geographical locations of NTD

patients are shown in descending order with Riyadh and Eastern Province ranking first on both lists.

Page 21

The Neural Tube Defects Registry First Multi-institutional Report, 2006 Education

In table 1.5, 27(53%) patients are in school, with 20 (39%) who did not reach school age to whom the "not applicable" code applies. Around 20(74%) of these patients are attending primary school (Table 1.6). **Table 1.5: Distribution of NTD patients by education**

Yes 27 52.9 No 1 2.0 Completed 1 2.0 Disabled 2 3.9 Not Applicable 20 39.1 Total 51 100.0 Table 1.6: Distribution of NTD patients by education level

Pre-school 2 7.4 Primary 20 74.1 Intermediate 3 11.1 Secondary 2 7.4 Total 27 100.0 Employment The majority of patients are not employed. Most of them are in school and did not reach employment age 50(98%), with only one patient who is not working (Table 1.7) **Table 1.7: Distribution of NTD patients by employment**

No 1 2.0 Not Applicable 50 98.0 **Total** 51 100.0 **Mobility** Most of the patients cannot move around because they are handicapped 37(73%), and 11(22%) of these

patients move around independently in the house and community (Table 1.8). Only 3 patients did not reach walking age to which the "not applicable" code applies.

Table 1.8: Mobility

Non walker 37 72.5 Household walker 2 3.9 Community walker 9 17.6 Not applicable 3 5.9 Total 51 100.0 14

Page 22

The Neural Tube Defects Registry First Multi-institutional Report, 2006 Section 2.0: Antenatal Diagnosis of Neural Tube Defects and Hydrocephalu

S

Ultrasonography and stage of NTD diagnosis Among the mothers who had ultrasound, 72% of the mothers reported that their children were diagnosed at birth and 18% during the third trimester. Only 1% and 9% were diagnosed during the first and second trimester (Figure 2.1). Figure 2.1: Mothers with ultrasonography and stage

of NTD diagnosis

72.0% 17.9% 9.4% 0.7% First Trimester Second Trimester Third Trimester At Birth

Diagnosis

Most of the cases presenting to KFSH&RC are Myelomeningoceles (i.e. **spina bifida** aperta). Out of the 444 patients (61%) had **spina bifida** aperta with hydrocephalus. As for **spina bifida** aperta without hydrocephalus we have 97(22%) cases, 48(11%) patients with lipomyelomeningocele and 9(2%) with occipital encephalocele (Table 2.2). **Table 2.2: Distribution of patients by diagnosis**

Anencephaly 1 0.2 Spina Bifida Aperta with Hydrocephalus 271 61.0 Spina Bifida Aperta with Hydrocephalus, Parietal Encephalocele 1 0.2 Spina Bifida Aperta with Hydrocephalus, Lipomyelomeningocele 1 0.2 Spina Bifida Cystica, any Site with Hydrocephalus and Arnold Chiari Malformation 5 1.1 Spina Bifida, any Site with Hydrocephalus of Late Onset 1 0.2 <mark>Spina</mark> Bifida of any Unspecified Type with Hydrocephalus 1 0.2 Spina Bifida Aperta, without Hydrocephalus 97 21.8 Spina Bifida Cystica, Lumbar, without Hydrocephalus 2 0.5

Spina bifida Cystica, Sacral, without Hydrocephalus 2 0.5 Spina Bifida Other Specified Site without Hydrocephalus 2 0.5 Lipomyelomeningocele 48 10.8 Occipital Encephalocele 9 2.0 Occipital Encephalocele, Anencephaly 1 0.2 Frontal Encephalocele 1 0.2 Parietal Encephalocele 1 0.2 Total 444 100.0 15

Page 23

The Neural Tube Defects Registry First Multi-institutional Report, 2006 Figure 2.3: Distribution of patients born with hydrocephalus 61.1% 38.9% Born with hydrocephalus Not born with hydrocephalus Figure 2.4: Distribution of patients with hydrocephalus by stage of 1st diagnosis 48.0% 52.0% Diagnosed Prior to Birth Diagnosed Post Birth 16 Hydrocephalus and antanatal diagnosis Figure 2.3, shows the percentage of patients who were born with hydrocephalus (61%). Figure 2.4,

presents those patients who were diagnosed with hydrocephalus before birth (48%) and those who were diagnosed after birth (52%), with the relevant population being those women who had ultrasound.

The Neural Tube Defects Registry First Multi-institutional Report, 2006 Section 3.0: Clinical Data History Age of repair in days

In figure 3.1 we can see that the majority of patients underwent the primary operation within the first three days of life (53%), (24%) had it between 4-29 days, and 23% had the operation after 30 days of birth. **Figure 3.1: Distribution of patients' age of repair**

24.1% First 3 days of life 4-29 days >= 30 days

Ventriculoperitoneal shunt insertion

Figure 3.2 presents patients with hydrocephalus who required ventriculoperitoneal shunt insertion, around 61% had VPS inserted, while 39% did not have any. Figure 3.2: Distribution of patients requiring VPS

insertion 60.6% 39.4% VPS inserted No VPS

Stage of VPS insertion

Among patients who had VPS inserted 76% had it after repair of the defect, 19% at the repair and only 5% had it before the repair (Figure 3.3). As mentioned before, insertion of VPS after the repair is a standard procedure.

Figure 3.3: Distribution of patients by stage of VPS insertion

75.6% 5.0% 19.4% At the Repair After the Repair Before the Repair **Fractures**

Fractures

Bones usually get stronger through standing and weight bearing. If not used, they can become less thick, fragile and can fracture easily. Patients with **spina bifida** may fracture a leg due to lack of feeling. Table 3.4 shows that the majority of our registry patients did not sustain any fractures 44(88%), 3 patients did not reach developmental milestones of standing yet, to which the "not applicable" code applies.

Table 3.4: Distribution of patients with fractures

Yes 3 6.0 No 44 88.0 Not applicable 3 6.0 **Total** 50 **100.0** 17

The Neural Tube Defects Registry First Multi-institutional Report, 2006 Section 4.0: Risk Factors

Folic acid preconception and third trimester A large number of mothers in our registry sample did not take folic preconception 407(99%). The first

trimester (348)87% had no folic acid intake as well, with 11% not taking this vitamin regularly (Figure 4.1). Figure 4.1: Folic acid intake 98.8% 0.7% 0.5% 86.6% 11.2% 2.2%0 20 40 60 80 100 120 Yes On/Off No Folic acid preconception Folic acid 1st trimester Code On/off was added to reveal mothers who did not take Folic acid regularly. Consanguinity In figure 4.2, 57% of the parents declared they were not related, while 43% declared they were. Figure 4.2: Consanguinity distribution of NTD patients 42.6% 57.4% No Consanguinity Related First degree relative and blood relative with NTD The vast majority of patients did not have first degree relatives with NTDs (98%), this includes, "Mother, Father, Sibling, Half-sibling and Child". Moreover a significant percentage of patients (95%) did not have blood relatives with NTDs (figure 4.3). Figure 4.3: First degree relative and blood relative with NTD 2.3% 97.7% 5.4% 94.6% 0 20 40 60 80 100 120 Yes No First degree relative with NTD Blood relative with NTD Mothers' age In figure 4.4 we notice that a high number of patients were born to mothers between 21-30 years of age 226(52%), followed by 139(32%) for age group between 31-40 years. Furthermore 2 patients were born to mothers over 50 years of age (0.5%). Figure 4.4: Distribution of age of mothers at birth of child 18 3.7% 32.2% 52.3% 10.9% 0.5% 0.5% 0 10 20

30 40 50 60 10-14 15-20 21-30 31-40 41-50 > 50 **Age groups in years**

Page 26

The Neural Tube Defects Registry First Multi-institutional Report, 2006

References

Medical Progress in the Prevention of Neural Tube Defects. Accessed <u>http://www.cdc.gov/ncbddd/bd/mp.htm</u>on 10 February, 2007 Enaw JO, Zhu H, Yang W, Lu W, Shaw GM, Lammer EJ, Finnell RH. CHKA and PCYT1A gene polymorphisms, choline intake and **spina bifida** risk in a California population. BMC Med 2006; 4:36. Tetsuo Suyama, Kuniyasu Takahashi, Hideo Shibuta, Hiroshi Imaizumi, Shigeru Hirabayashi, Yasuyuki Takakura, Kouichi, Inokuchi. Long results of long rehabilitation in adults with **spina bifida**. J. phys. Ther. Sci. 2000; 12:57-61

APPENDIX A

Page 27

Page 28

REGISTERED BY: (Interview) (Entered) DATE OF ENTRY: Neural Tube Defects Registry RAC#: 99 1029E Form NTDR - v3 8 November, 2006 BESC#: 0180/99PD / /

KING FAISAL SPECIALIST HOSPITAL AND RESEARCH CENTRE

Registry Core Facility Biostatistics Epidemiology & Scientific Computing Department A collaborative effort of Departments of Neurosciences, Pediatrics, Urology, Orthopedic Surgery, Ob. & Gyn.

Neural Tube Defects Registry

Baseline Data Form

ATIENT N AMEPLATE INSTITUTION CODE/REGISTRY #: KFSH&RC MRN: REGISTRATION DATE: DDMMYYY DEMOGRAPHIC DATA Name: Last First and Middle Patient sex: Male Female Unknown Date of birth: Nationality: Saudi Other Arab

Non Arab Unknown D D M M Y D D M M Y Y Y Y Telephone #: Patient's Saudi NID#: Country Code Mobile #: Current Domicile: If Saudi Arabian Father's Saudi NID #: Patrilocality: Patrilocality: Education: Is the patient attending school? Yes No Sometimes Completed Disabled Not Applicable Education level: Pre-School Primary Ι ntermediate Secondary College University Employment: Is the patient employed? Yes No Not Applicable If yes: Full Time Part time Irregular Work Disabled Disabled Mobility: Non Walker Household walker Community walker Not Applicable Plurality: Single Monozygotic twins Dizygotic Twins Unknown Others, please specify: Co-twin stillbirth: Yes No Unknown Family History: Yes No if yes, Family Number: Condition of co-twin: Normal Same Defect Same defects & others Other defect(s) Not Applicable Unknown Registry # of co-twin: (Unknown 9999-9999, Normal: 8888-8888-888, Blank for N/A) NEURAL TUBE DEFECT DIAGNOSIS Condition **BPA Code** ICD-9CM MACDP ASSOCIATED DIAGNOSIS (ICD-9-CM CODE) •

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Page 29

REGISTERED BY: (Interview) (Entered) DATE OF ENTRY: Neural Tube Defects Registry RAC#: 99 1029E Form NTDR - v3 8 November, 2006 BESC#: 0180/99PD 11 HISTORY AND DIAGNOSIS Gestational status at birth: Pre term Full term/Post term Unknown Sonography Performed: Yes No Unknown Unknown When was NTD diagnosed? At birth 1st trimester 2nd trimester 3rd trimester Unknown Other(s), specify Was the patient hydrocephalic at birth? Yes No Unknown Was hydrocephalus diagnosed antenately? Yes No Unknown Birth Weight: (gms) CLINICAL DATA HISTORY Spina bifida repair: Yes No Unknown If Yes, date of surgical repair (G) : D D M M Y Y Y Y Hospital of repair: Wound Complication after the repair: Yes No Unknown VPS Insertion required? Yes No Unknown If Yes, at what stage was the VPS inserted? At the repair After the repair

Before the repair Unknown Not Applicable VPS complications after insertion: Yes No Unknown Did the patient sustain any fractures? Yes No Not Applicable If Yes, specify the site: Upper extremity: Hand Arm Shoulder Low extremity: Foot Leg Thigh Pelvis

Page 30

REGISTERED BY: (Interview) (Entered) DATE OF ENTRY: Neural Tube Defects Registry RAC#: 99 1029E Form NTDR - v3 8 November, 2006 BESC#: 0180/99PD ///

Neural Tube Defects Registry INSTITUTION CODE/REGISTRY #:

KFSH&RC MRN: PARENTAL DEMOGRAPHICS Parental consanguinity: No consanguinity Related Unknown Mother's age at registration: years Father's age at registration: years Patient's mother's history of stillbirths: Yes No Unknown Patient's mother's residence at conception: Air conditioned / Air-cooled home Un-cooled home Unknown Not Applicable History of Intake Folic Acid Multivitamins Yes Off/on No Unknown Yes Off/on No Unknown Pre-conception Trimester First-degree relative with NTD: Yes No Any Blood relative with NTD: Yes No Unknown First-degree relative * with a congenital anomaly: Yes No Unknown Any Blood relative with a congenital anomaly? Yes No Unknown * Mother, father, sibling, half-sibling, child)

REGISTERED BY: (Interview) (Entered) DATE OF ENTRY: Neural Tube Defects Registry RAC#: 99 1029E Form NTDR - v3 8 November, 2006 BESC#: 0180/99PD / /

KING FAISAL SPECIALIST HOSPITAL AND RESEARCH CENTRE

Registry Core Facility Biostatistics Epidemiology & Scientific Computing Department A collaborative effort of Departments of Neurosciences, Pediatrics, Urology, Orthopedic Surgery, Ob. & Gyn.

Neural Tube Defects Registry

Addendum Data Form

P ATIENT Ν N AMEPLATE INSTITUTION CODE/REGISTRY #: KFSH&RC MRN: Follow up DATE: DDMMYYYY Education: Is the patient attending school: Yes No Sometimes Completed Disabled Not Applicable Education level: : Preschool Primary Ι ntermediate Secondary College University Employment: Is the patient employed: Yes No Not Applicable If yes : Full Time Part time Irregular Work Disabled Mobility: Non Walker Household walker Community walker Not Applicable Did the patient sustain any fractures? Yes No Not Applicable If Yes Specify the site: Upper extremity: Hand Arm Shoulder Low extremity; Foot Leg Thigh Pelvis

Page 32

Page 31

APPENDIX B

King Faisal Specialist Hospital and Research Centre, Riyadh

Request for Data from Neural Tube Defects Registry

Name: ID No. Position: Department: Institution: MBC No. Ext. Date Requested: Date by which data is required: 1. Data Requested (specify patient population, time period, etc.) A. Required Patient Information (specify variables. Use separate sheets if required) 3. 5. 2. 4. 6 B. Time period From: To: 2. Purpose of the request Presentation at conference/meeting Spin-off Research Study Publication Patient Care Other, please specify Other than Research (specify the reason and provide approval from the Chairman of the Department in your institution) 3. Is the research study for which the data is requested, approved from Research Advisory Council (RAC)? Yes If Yes, provide the RAC Number and attach a copy of the approval memo No If No, explain the reasons 4. If presentation or publication of data is anticipated, identify collaborators and co-authors to be credited: 2. 3. 4. (Printed Name) (Signature) **Request Received By:** Confidentiality Statement signed Registrar, NTD Registry Dated (DD/MM/YYYY) Yes No For NTD Registry Committee Request Granted No Yes If Yes, date request granted: Approved by: Dated (DD/MM/YYYY) Chairman Registry Committee : For NTD Registry Use Only Request Control Number: Request Completed by: Date received:

APPENDIX C

Completion date:

Page 34

King Faisal Specialist Hospital and Research Centre, Riyadh CONFIDENTIALITY STATEMENT Name:

ID No. Position: Department: Institution: I declare that I understand and abide by the rules on confidentiality, security and release of information for users of the Neural Tube Defect Registry as outlined below. (Print Name) (Signature) (Date)

Rules of Confidentiality, Security and Release of Information for users of Neural Tube Defect Registry Data

1. Data held by Registries Core Facility at Biostatistics, Epidemiology and Scientific Computing Department on patients in Neural Tube Defect Registry

is intended for the purposes of Scientific Research and Statistical Analyses, Healthcare and Hospital Administration support only. The data cannot be used

for any other purpose.

2. Data received from Neural Tube Defect Registry should not be divulged to any person whose name is not specified as a co-user of the data nor

should it be used for any other purposes than that declared in Registry Data Request Form.

3. Proper safeguards should be applied in keeping and destroying the data upon completion of the work/project in order to prevent any breach of confidentiality.

The Chairman of the Registry Committee should be notified immediately of any misuse or loss of data.

4. No patient is to be contacted by a research worker as a result of information supplied by the registry without prior review and consent of the

Registry Committee

5. Any statistics or results of research based on data received from the registry should not be made available in a form which directly identifies individual data

subjects and/or is not covered by the purpose of request specified in the Data Request Form.

Page 36

Registries Core Facility

Biostatistics, Epidemiology and Scientific Computing Department King Faisal Specialist Hospital and Research Centre, Riyadh

Chapter: Three

Section: Four Policy No. 03-04-01 Policy: Registry Data – Release of Data and/or Information and Result Reporting Policy Issued: January 2002 Revised:

October 2006

General:

Data collected by disease registries is directly related to the health care of the patients. This data or any other information

related to the patients' health care is the property of the patient and cannot be released to an unauthorised individual without

prior consent from the registry committee.

Statement:

1. Responsibility of Reporting the descriptive statistics based on the yearly collection of data in the form of an Annual

Report rests upon the registrar of the relevant registry.

2. Any request for release of information / data for research or other purposes should be processed by the Registrar

who is responsible for presenting the request to the Registry Committee or its designee, getting the approval and

downloading the relevant data in ASCII text format. It should be made certain that the whole procedure is in

conformity to the RCF Confidentiality Policy. Registrar is also responsible for maintaining the log of all such

releases of information.

Policy Objective:

To safeguard against unauthorised release of registry information. To provide a smooth mechanism for the provision of registry data/information to authorised individuals. **Application / Scope:**

All registries under RCF. Monitoring:

Annual **References** RCF IPP # 05-05-01 Confidentiality Policy Chapter Three

Page 37

Registries Core Facility Biostatistics, Epidemiology and Scientific Computing Department King Faisal Specialist Hospital and Research Centre, Riyadh **Chapter:** Three

Section:

Five

Policy No. 03-05-01

Policy:

Confidentiality Policy

Issued:

January 2002 **Revised:**

October 2006

General:

Since a disease registry requires the review of significant amounts of data, there is normally a very thorough review of each

patient's medical record. All information obtained on patients shall be considered extremely confidential. The actual medical

record is the property of the hospital and is kept to document the course of a patient's care and provide communication

between all health care professionals for both current and future care of the patient. The actual information contained within

the medical record is the patient's property and cannot be released to anyone without proper authorization from the patient, a

subpoena, or court order. It is important to stress the strictest confidentiality, as new employees are hired as well as periodic

reminders for other employees. RCF members have an obligation to safeguard the confidentiality of personal information

maintained in the disease registries. This is governed by ethical and professional codes of conduct. Because of the rapid

development of electronic processing of information making sensitive data widely available it is required by the users of

sensitive data to ensure they also use common sense when handling data. Different professional and ethical considerations

apply depending on the purpose for which the information is used.

Policy Definition:

5.1

Confidentiality

Whilst RCF accepts that great benefits can be made from the information it has collected through disease

registries and that medical professionals and hospital management should have ready access to the information they need, it is also important that personal information is kept confidential and that privacy is

respected. Disciplinary action may result from a breach of confidentiality, where a breach of contract can

be proved.

5.2

Principles of Confidentiality

a. The purpose for which data collected by the registry are to be used should be clearly defined.b. The legal basis of patient registration should be clarified and it should be ensured that all facilities have

legal authority to report the case compulsory or voluntary.

c. All disease registries in the RCF must maintain the same standards of confidentiality as customarily apply to the doctor-patient relationship; this obligation extends indefinitely, even after the death of the patient.

d. Identifiable data may be provided to a clinician for use in the treatment of a particular disease / patient

observing that only the data necessary for the stated purpose are released. Access to patient identifiable information should be on a strict need to know basis. Only those individuals who need access to patient identifiable information should have access to it, and they should only have access to the information items that they need to see. Use the minimum necessary patient identifiable information. Where use of patient identifiable information is considered to be essential, each individual item of information should be justified with the aim of reducing identifiability.

e. The scope of confidentiality extends not only to identifiable data about data subjects and data suppliers, but also to others directly or indirectly identifiable data stored in or provided to the registry. f. Data on deceased persons should subject to the same procedures for confidentiality as data on living persons.

g. Don't use patient identifiable information unless it is absolutely necessary. Patient identifiable items should only be used if there is no alternative.

Chapter Three

Page 38

Registries Core Facility

Biostatistics, Epidemiology and Scientific Computing Department King Faisal Specialist Hospital and Research Centre, Riyadh

h. Everyone should be aware of their responsibilities. Action should be taken to ensure that those handling patient identifiable information, both clinical and non-clinical staff, are aware of their responsibilities and obligations to respect patient confidentiality.

i.

Guidelines for confidentiality apply to all data regardless of storage or transmission media.

Policy Statement:

Registrar of each registry is responsible for assuring the confidentiality and security of registry data.
 The RCF staff should sign, as part of their contract of employment, a declaration that they will not release

confidential information to unauthorised persons. The declaration should remain in force after cessation of

employment. They are also given a copy of the statement. It is essential that the requirements and responsibilities for people working with all the registries, record and databases maintained by Registries

Core Facility (RCF) are clearly defined and understood. This policy outlines the steps that registry database

users must adopt. 'Users' are authorised personnel to access any database. The policy also includes those

staff members who are charged with the responsibility of creation, maintenance and development of registry databases and relevant software in Biostatistics, Epidemiology and Scientific Computing Department.

3. Suitable control of access to the registry, both physical and electronic, and a list of persons, authorised to

enter the registry should be maintained by the Registrar.

4. The Registrar should maintain a list of staff members indicating the nature and extent of their access to

registry data.

5. Notices reminding staff of the need to maintain confidentiality should be promptly displayed.

6. Registries at RCF should provide proof of identity to staff engaged in active patient registration.

7. Identifiable data should not be transmitted by any means (post, telephone or electronic) without explicit

authority from the Head, RCF or staff member to whom such authority has been delegated. Transmission

by telephone should in general be avoided.

8. Registries should consider the use of courier services for confidential data, as well as separating names

from other data for transmission.

9. Precautions should be taken for both physical and electronic security of confidential data sent on magnetic,

optical or electronic media. This could be done by separating identifying information or via encryption of

the identification.

10. Use of computer for confidential data should be controlled for electronic and if possible physical measures

to enhance the security of the data, including use of separate room, passwords, different levels of access to

data, automatic logging of all attempts to enter the system, and automatic closure of sessions after a period

of inactivity.

11. Demonstration of the computer system / database management software should be performed with separate

and fictitious or anonymised data sets.

12. Special precautions should be taken for the physical security of electronic backup media.

13. Expert advice on security against unauthorised remote electronic access should be sought if necessary.

14. Measures should be taken to ensure the physical security of confidential records held on paper or any other

media and to protect such data from corruption.

Chapter Three

Page 39

Registries Core Facility

Biostatistics, Epidemiology and Scientific Computing Department King Faisal Specialist Hospital and Research Centre, Riyadh

15. A policy should be developed for the safe disposal of confidential waste.

16. Security procedures should be reviewed at suitable intervals, and consideration should be given to obtaining specialist advice.

17. Any unauthorized release of patient information will be punishable as stated in Oath of Confidentiality.

5.3

Release of Data

a) Release of registry data for research and for healthcare planning is central to the utility of a registry. The registry should develop procedures for data release that ensures the maintenance of confidentiality.b) The registrar is made responsible to present the request for identifiable data to the Registry Committee

and make recommendations to the committee that the particular request meets the requirement of the law and the registry guidelines on confidentiality. Also the scientific soundness and clinical significance o the project should be judged.

c) In the absence of written consent from data subjects a registry should not release identifiable data on data subjects for the purpose other than research and statistics. National legislation with respect to confidential data should be observed.

d) Physicians should be given access to data needed for the management of their patients if identified as

such and if in accordance with national / institutional regulations after getting approval from the relevant Registry Committee.

e) Provision of own data to the data subject must be given upon request unless institutional / national law

excepts such a release. It is recommended that data subjects be advised to make the request via their own physician.

f) Enquiries from the press should be directed to the Chairman of the relevant Registry Committee or to a

staff member nominated for this purpose.

g) Requests for identifiable data to be used for research should include a detailed justification with a commitment to adhere to the registry's guidelines on confidentiality.

h) Registries should provide a document describing their procedures and criteria for the release of data especially identifiable data to researchers who request access to the data.
 i)

If allowed by the institutional and/or national regulations, cross-border transfer of identifiable individual data should only be carried out if required for the conduct of a research project and if the level of protection is satisfactory.

Policy Objective:

The need for a code of conduct in the maintenance of confidentiality in disease registries and the definition of what

should be considered confidential.

The principles of confidentiality including measures to maintain and survey security procedures. Guidelines for the preservation of confidentiality and for the use and release of registry data in accordance with

these principles.

Application / Scope:

All registries under the umbrella of Registries Core Facility at BESC Department.

Page 40

Registries Core Facility

Biostatistics, Epidemiology and Scientific Computing Department King Faisal Specialist Hospital and Research Centre, Riyadh

Definitions:

Data Subject:

An individual or identifiable natural person, on whom information is processed. *Confidential Data:*

For the purpose of this document, any data collected and stored by a disease registry which could permit the identification of an individual patient (data subject) or, in relation to a particular data subject, of an individual physician or institution (data supplier) are considered to be confidential. An identifiable person is one who can be identified directly or indirectly by reference to a reference number or other identifying information such as names, date of birth, national identity number, etc., or to factors specific to his or her physical or physiological, mental, economic cultural or social identity. The collection of unambiguous identifying information on the data subject is necessary to secure quality and use of the registry. The data which, in association with a particular diagnosis, are considered confidential alone, and in combination with other data items are listed below:

1. Names

2. Unique reference numbers

3. Address

4. Full date of birth combined with sex and small area code for place or residence or death

Security:

Security denotes the measures taken to prevent unauthorised access to the registry data, whether stored on paper or any other media or transmitted by any of these means.

Data Protection:

Includes both the prevention of physical access to the data (security) and the protection of the data to avoid corruption during many years of storage. The term should in this context should not be confused with confidentiality (privacy) the aim of which is to protect the individual from unauthorised disclosures.

Processing of personal data:

Denotes any operation or set of operations that is performed upon personal data whether or not by automatic means such as collection, recording, organization, storage, alteration, retrieval, consultation, use, disclosure by transmission, dissemination or otherwise making available alignment or combination blocking or erasure. *Filing System:*

Denotes any means to achieve a structured set of personal data that are accessible according to specific criteria, whether centralised, decentralised or dispersed on a functional or geographical basis.

Informed consent:

Means any freely given specific and informed indication of the wishes of the data subject signifies his or her agreement to personal data relating to him or her being processed.

Policy Review

RCF will review the Confidentiality Policy annually.

References

"Guidelines of confidentiality in population-based cancer registration in the European Union" Adapted by The

European Network of Cancer Registries

North American Association of Central Cancer Registries Policy Statement 99-01: Confidentiality "Statement of Confidentiality" Arkansas Central Cancer Registry Chapter Three